



Presence of Liver Steatosis Is Associated With Greater Diabetes Remission After Gastric Bypass Surgery

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OBJECTIVE

Type 2 diabetes mellitus (T2DM) is characterized by insulin resistance (IR) and β -cell dysfunction. Ectopic fat accumulation in liver and muscle causes IR. Since bariatric and metabolic surgery significantly improves fatty liver disease, we hypothesized that coexistence of liver steatosis (i.e., when hepatic IR contributes in T2DM) would be associated with greater diabetes improvement after surgery.

RESEARCH DESIGN AND METHODS

A total of 519 patients with T2DM who underwent Roux-en-Y gastric bypass and simultaneous liver biopsy and had a minimum 5-year follow-up were analyzed to assess the independent association between biopsy-proven liver steatosis and postoperative long-term diabetes remission (glycated hemoglobin <6.5% [48 mmol/mol] off medications).

RESULTS

Of the 407 patients with biopsy-proven liver steatosis, long-term diabetes remission was achieved in 211 (52%) patients compared with remission in 44 out of 112 (39%) patients without steatosis ($P = 0.027$). In multivariable analysis, presence of liver steatosis was an independent predictor of long-term diabetes remission (odds ratio 1.96 [95% CI 1.04–3.72]; $P = 0.038$). Hepatocyte ballooning, lobular inflammation, or fibrosis at baseline did not predict diabetes remission.

CONCLUSIONS

This study, for the first time, suggests that in patients with T2DM who are considering bariatric and metabolic surgery, coexistence of liver steatosis is associated with better long-term glycemic outcomes. Furthermore, our data suggest that there are distinct variants of T2DM in which metabolic responses to surgical weight loss are different. A subgroup of patients whose T2DM is characterized by the presence of hepatic steatosis (presumably associated with worse IR) experience better postoperative metabolic outcomes.

The pathophysiology of type 2 diabetes mellitus (T2DM) is characterized by insulin resistance (IR) and β -cell failure. A widely accepted paradigm states that IR originates in large measure from the ectopic fat accumulation and subsequent inflammation in liver, muscle, and other key tissues (1,2). An alternative model, however, suggests that hepatic IR due to dietary fructose overload causes primary hepatic fat accumulation, with subsequent whole-body hyperinsulinism, adiposity, and low-grade inflammation

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(3,4). Both cascades result in stressed β -cells and loss of functional β -cell mass, leading to hyperglycemia, the hallmark of the diabetes diagnosis.

In parallel with the pandemics of obesity and T2DM, the prevalence of non-alcoholic fatty liver disease (NAFLD) has soared to affect more than a third of the general U.S. population and 80% of people living with obesity (5). NAFLD can progress to nonalcoholic steatohepatitis (NASH), advanced fibrosis, cirrhosis, and ultimately hepatocellular carcinoma. To date, there is no highly effective, specific treatment for NAFLD/NASH, but observational studies suggest that bariatric/metabolic surgery in patients with NAFLD and NASH is safe and significantly improves steatosis and NASH-related liver disease, including fibrosis (6).

The relationship between T2DM and NAFLD is complex and bidirectional, with IR as a shared common pathway. Each disease has the potential to exacerbate the other. However, metabolic surgery is a powerful tool to treat both T2DM and NAFLD as major comorbidities of obesity (7,8). We hypothesized that coexistence of liver steatosis and T2DM would be associated with greater diabetes improvement after metabolic surgery.

RESEARCH DESIGN AND METHODS

A retrospective review of electronic medical records (EMR) was conducted after obtaining approval from our Institutional Review Board. We identified all patients with obesity and T2DM undergoing primary Roux-en-Y gastric bypass (RYGB) at a single academic institution between the years 2004 and 2012. Our analysis included only patients who had intraoperative core needle liver biopsy at the time of metabolic surgery and had a minimum 5-year glycemic follow-up. Per our management protocol, intraoperative liver biopsy was routinely performed in all patients undergoing metabolic surgery, via percutaneous passing of a Tru-Cut needle in the left hepatic lobe, under direct laparoscopic visualization.

We recorded baseline characteristics including sex, age at surgery, BMI before surgery, duration of T2DM before surgery, preoperative insulin use, number of preoperative antidiabetes medications, preoperative glycated hemoglobin (HbA_{1c} ; with poor glycemic control defined as an $HbA_{1c} \geq 7.0\%$ [53 mmol/mol] irrespective of diabetes medication), and liver

histopathologic findings, including presence of liver steatosis, lobular inflammation, hepatocyte ballooning, and fibrosis. Additionally, we checked whether baseline insulin or C-peptide measurements were available in the EMR. Since these evaluations were not performed in routine care, we did not include them in the multivariate analyses. Remission of T2DM was defined as $HbA_{1c} < 6.5\%$ (48 mmol/mol) off diabetes medications at ≥ 5 years after RYGB.

Liver histology was evaluated according to the NASH Clinical Research Network definitions (9). Liver steatosis was graded on a scale of 0–3, with 0 corresponding to $< 5\%$ steatosis, 1 corresponding to 5–33% steatosis, 2 corresponding to 34–66% steatosis, and 3 corresponding to $> 66\%$ steatosis. NAFLD was defined as presence of liver steatosis grade 1, 2, or 3. Hepatocyte ballooning was graded on a scale of 0–2, with 0 corresponding to none, 1 corresponding to few (mild), and 2 corresponding to many (moderate/ marked). Lobular inflammation was graded on a scale of 0–3, with 0 corresponding to no foci, 1 corresponding to < 2 foci/high-power field (hpf), 2 corresponding to 2–4 foci/hpf, and 3 corresponding to > 4 foci/hpf. Fibrosis was scored on a scale of 0–4, with 0 corresponding to none, 1 corresponding to either perisinusoidal or periportal fibrosis, 2 corresponding to perisinusoidal with portal/periportal fibrosis, 3 corresponding to bridging fibrosis, and 4 corresponding to cirrhosis.

Categorical and continuous variables were presented as frequencies (%) and median (25th; 75th percentile), respectively. Remission of diabetes was compared on variables of interest using χ^2 , Fisher exact, and Wilcoxon rank-sum tests. Multivariate logistic regression models were built to assess the association between steatosis and remission of diabetes, adjusting for other variables. To further quantify the relative importance of each predictor, we calculated the z value for all variables by dividing the absolute value of the regression coefficient by its SE (a larger z value corresponds to a larger effect of the variable on the outcome). All tests were two-tailed, with $P < 0.05$ deemed statistically significant. Odds ratios (OR) and 95% CIs were used as measures of the magnitude of associations. R software (version 3.5.1, 2018-07-02; Vienna, Austria) was used for all analyses.

RESULTS

During the 9-year study period, 644 patients with T2DM and obesity underwent primary RYGB at our center. Of these, 519 patients met the inclusion criteria of available intraoperative liver biopsy and at least 5-year postoperative glycemic follow-up. The median postoperative follow-up time was 8 years (range 5–14).

Demographic details and relevant histologic characteristics of the included patients are summarized in Table 1. Among these individuals, 348 (67.1%) were female. Preoperatively, the median BMI was 45.2 kg/m² (40.3; 50.6), and the median age was 52 years (45; 58). On average, the patients had T2DM for 6.0 years (2.5; 11.0) and used 2 (1; 3) diabetes medications, including insulin in 188 (36.2%) patients, to attain a median HbA_{1c} of 7.3% (6.4; 8.5) or 56 mmol/mol (46; 69). There were 304 patients (58.6%) with poor baseline glycemic control.

The intraoperative liver biopsy showed at least some degree of steatosis (grades 1–3) in 407 patients (78.4%). Signs of lobular inflammation were present in 359 patients (69.2%), and 184 patients (35.5%) displayed hepatocyte ballooning. A total of 211 patients (40.7%) had fibrosis, but only 56 (10.8%) scored F3 or F4.

Among 519 patients in the total cohort, 255 (49.1%) experienced long-term remission of T2DM after RYGB. In univariate analysis, patients with remission had shorter diabetes duration (3.0 [1.0; 6.0] vs. 10 [6.0; 15.0] years; $P < 0.001$), better glycemic control (HbA_{1c} 6.7% [6.0; 7.70] vs. 7.9% [7.0; 9.2] or 50 [42; 61] vs. 63 [53; 77] mmol/mol; $P < 0.001$), with fewer diabetes medications used (1 [1; 2] vs. 2 [2; 3]; $P < 0.001$), especially less insulin usage (13.7% vs. 58%; $P < 0.001$). Moreover, remission occurred in patients who were heavier (BMI 46.2 [41.3; 52.0] vs. 43.9 [39.1; 49.2] kg/m²; $P < 0.001$), younger (50 [45; 55] vs. 54 [47; 60] years; $P < 0.001$), and more likely to be female (71.8% vs. 62.5%; $P = 0.035$).

Of the 407 patients with NAFLD (steatosis grades 1–3), 211 (52%) experienced diabetes remission, compared with 44 out of 112 (39%) patients without steatosis ($P = 0.027$ for comparison of binary variables). Long-term diabetes remission rates in patients with steatosis grade 0, 1, 2, and 3 were 39%, 50%, 55%, and 57%, respectively ($P = 0.079$ for comparison of ordinal variables). There were no significant differences in preoperative presence

Table 1—Descriptive summary and univariate analysis

	All patients (n = 519)	Remission of diabetes		P value
		No (n = 264)	Yes (n = 255)	
Sex				0.035
Female	348 (67.1)	165 (62.5)	183 (71.8)	
Male	171 (32.9)	99 (37.5)	72 (28.2)	
Age at time of surgery (years)	52 (45; 58)	54 (47; 60)	50 (42; 55)	<0.001
BMI before surgery (kg/m ²)	45.2 (40.3; 50.6)	43.9 (39.1; 49.2)	46.2 (41.3; 52.0)	<0.001
Duration of diabetes (years)	6.0 (2.5; 11.0)	10.0 (6.0; 15.0)	3.0 (1.00; 6.00)	<0.001
Preoperative insulin use				<0.001
No	331 (63.8)	111 (42.0)	220 (86.3)	
Yes	188 (36.2)	153 (58.0)	35 (13.7)	
Diabetes medications	2.0 (1.0; 3.0)	2.0 (2.0; 3.0)	1.0 (1.0; 2.0)	<0.001
Preoperative HbA _{1c}				<0.001
%	7.3 (6.4; 8.5)	7.9 (7.0; 9.2)	6.7 (6.0; 7.7)	
mmol/mol	56 (46; 69)	63 (53; 77)	50 (42; 61)	
Poor glycemic control (HbA _{1c} ≥7%)				<0.001
No	215 (41.4)	68 (25.8)	148 (58.0)	
Yes	304 (58.6)	196 (74.2)	107 (42.0)	
Liver steatosis score				0.079
0	112 (21.6)	68 (25.8)	44 (17.3)	
1	272 (52.4)	136 (51.5)	136 (53.3)	
2	107 (20.6)	48 (18.2)	59 (23.1)	
3	28 (5.4)	12 (4.5)	16 (6.3)	
Lobular inflammation score				0.128
0	160 (31.2)	80 (30.3)	80 (31.4)	
1	254 (48.9)	140 (53.0)	114 (44.7)	
2	99 (18.8)	41 (15.5)	58 (22.7)	
3	6 (1.14)	3 (1.1)	3 (1.2)	
Hepatocyte ballooning score				0.539
0	335 (64.5)	165 (62.5)	170 (66.7)	
1	159 (30.6)	84 (31.8)	75 (29.4)	
2	25 (4.8)	15 (5.7)	10 (3.9)	
Liver fibrosis score				0.145
0	308 (59.3)	156 (59.1)	152 (59.6)	
1	107 (20.6)	56 (21.2)	51 (20.0)	
2	48 (9.2)	19 (7.2)	29 (11.4)	
3	42 (8.1)	22 (8.3)	20 (7.8)	
4	14 (2.7)	11 (4.2)	3 (1.2)	

Data are n (%) or median (interquartile range). Liver histology was scored according to the NASH Clinical Research Network definitions (9). Significant P values ($P < 0.05$) are presented in bold.

of lobular inflammation, hepatocyte ballooning, or fibrosis between patients who achieved diabetes remission or not after surgery.

Multivariate logistic regression analysis showed that longer duration of diabetes (OR 0.87 [95% CI 0.82–0.92]; $P < 0.001$), preoperative insulin use (OR 0.33 [95% CI 0.19–0.56]; $P < 0.001$), higher number of diabetes medications (OR 0.50 [95% CI 0.37–0.67]; $P < 0.001$), and poor preoperative glycemic control (OR 0.53 [95% CI 0.32–0.86]; $P = 0.01$) were baseline independent factors that predicted less likely remission of diabetes after RYGB surgery. Presence of liver steatosis before surgery predicted postoperative

remission of diabetes (OR 1.96, [95% CI 1.04–3.72]; $P = 0.038$) (Table 2). The absolute z value for steatosis was 2.07, which was relatively similar to the z value for poor glycemic control (2.58), but relatively less than the z values for duration of diabetes (4.96), preoperative insulin use (4.00), or preoperative number of diabetes medications (4.64).

Compared with patients without liver steatosis, those with steatosis more frequently had lobular inflammation (307 out of 407 [75%] vs. 52 out of 112 [46%]; $P < 0.001$), hepatocyte ballooning (177 out of 407 [43%] vs. 7 out of 112 [6%]; $P < 0.001$), and fibrosis (192 out of 407 [47%] vs. 19 out of 112 [17%]; $P < 0.0001$)

(Supplementary Table 1). Weight loss was similar in patients with and without baseline steatosis: 34.3% versus 35% at last follow-up, respectively. Presence of steatosis remained a significant predictor of diabetes remission when multivariate logistic regression was executed, including total body weight loss data (Table 3). Again, the z value for steatosis was lower than z values for diabetes duration, insulin use, and preoperative diabetes medication, but in a similar order of magnitude as poor glycemic control.

We could retrieve at least one serum insulin measurement among 223 patients during the year before surgery and 36 C-peptide measurements, but only 173 measurements had a traceable simultaneous glucose recording. Because 83 of the available insulin measurements were in patients who used exogenous insulin according to their EMR, and because it was impossible to ascertain retrospectively that the insulin or C-peptide measurements were done in fasting conditions, we decided that these data could not be used retrospectively to approximate IR in the study population.

CONCLUSIONS

Metabolic surgery has proven to be a powerful tool to treat obesity and T2DM. This study, based on clinical and histopathology data from a large cohort of patients with long-term follow-up after RYGB, suggests for the first time that presence of biopsy-proven hepatic steatosis at baseline is associated with an increased likelihood of diabetes remission after surgery.

Although bariatric surgery was initially designed as a pure weight-loss strategy, several of these operations have now been shown to induce remission of T2DM (7,10). Due to the improvement in glucose homeostasis typically occurring very soon after surgery, even before significant weight loss, numerous weight-independent anti-diabetes mechanisms have been shown to play an important role in diabetes remission, along with expected secondary consequences of weight loss. These mechanisms include favorable changes in gut hormones, bile acid signaling, gut microbiota, intestinal glucose transport and utilization, proximal intestinal nutrient sensing, iron metabolism, and others (11).

Currently, <1% of patients who are eligible for bariatric/metabolic surgery actually undergo surgery. Given the increasing prevalence of obesity, however,

Table 2—Multivariate logistic regression model for long-term diabetes remission after RYGB considering baseline variables only

Baseline variables	OR	95% CI	P value	z value
Sex (male vs. female)	1.11	0.67–1.83	0.683	0.409
Age at surgery	0.98	0.96–1.00	0.098	1.653
BMI before surgery	1.03	1.00–1.06	0.037	2.087
Duration of diabetes	0.87	0.82–0.92	<0.001	4.964
Preoperative insulin use (yes vs. no)	0.33	0.19–0.56	<0.001	3.997
Preoperative number of diabetes medications	0.50	0.37–0.67	<0.001	4.640
Poor glycemic control (HbA _{1c} ≥ 7%)	0.53	0.32–0.86	0.010	2.579
Liver steatosis (yes vs. no)	1.96	1.04–3.72	0.038	2.074
Lobular inflammation (yes vs. no)	1.02	0.59–1.78	0.933	0.084
Hepatocyte ballooning (yes vs. no)	0.74	0.39–1.39	0.350	0.934
Hepatic fibrosis (yes vs. no)	1.07	0.60–1.92	0.814	0.235

z value is the absolute value of regression coefficient divided by its SE. A larger z value indicates a stronger statistical relation of factor on outcome. As a rule of thumb, if the absolute value of the z value is larger than cutoff value of 2.0, the variable is significant. Significant P values ($P < 0.05$) and z values ($z > 2.0$) are presented in bold.

and given the increasing numbers of metabolic operations performed, it will become more important in the future to delineate subgroups of patients who will benefit the most from surgical interventions. Until now, prediction models for diabetes remission after metabolic surgery were based almost entirely on parameters directly related to β -cell status, such as age, diabetes duration, HbA_{1c}, insulin treatment, and number of anti-diabetes drugs used (e.g., DiaRem [12], ABCD [13], and Individualized Metabolic Surgery [IMS] Score [14]). Specifically, the IMS Score was developed based on four independent predictors of long-term remission, including preoperative number of diabetes medications, insulin use, preoperative duration of T2DM,

and glycemic control, which can assist in classification of diabetes severity before metabolic surgery. The current analysis, which shares some patients who were included in the original IMS Score study, confirms the major importance of β -cell capacity before surgery, as longer diabetes duration, preoperative use of exogenous insulin, higher number of diabetes medications, and poor preoperative glycemic control have significantly lowered the likelihood of postoperative diabetes remission.

Additionally, this study revealed that liver steatosis at the time of surgery predicts a higher chance of diabetes remission after RYGB. Our analysis is based on histological scoring of liver biopsy specimens, which remains the gold

standard method to evaluate NAFLD and NASH to date. This finding fits the notion that intrahepatic fat is of primordial importance to metabolic complications of obesity compared with visceral or peripheral adiposity (15,16), and it is consistent with recently published findings of the DiRECT trial, in which remission of T2DM after weight loss intervention coincided with a decrease in liver fat (17). In the DiRECT trial, an increase in first-phase insulin secretion in the subgroup of patients who responded to weight loss suggested improvement of β -cell function, and the investigators noted that the capacity for β -cell recovery remains the permissible factor for diabetes remission (17). In our multivariate analysis, the indirect surrogate markers of functional β -cell capacity were of major significance, confirmed by large z values. But the preoperative presence of hepatic steatosis seemed to have an important additional predictive role.

Patients with preoperative liver steatosis might have been a subgroup with a major contribution of IR to their T2DM, perhaps with relatively preserved β -cell function. Although we hypothesized that coexistence of liver steatosis would be associated with greater diabetes improvement after metabolic surgery due to improvement of hepatic IR following surgery, the presence of liver steatosis might be a surrogate marker for reversible β -cell dysfunction. It could be possible that the liver steatosis in this study is a reflection of pancreatic steatosis, which is known to be negatively correlated with β -cell function (18). We tried to collect insulin and C-peptide measurements to estimate the relative contribution of IR and β -cell function, but in this retrospective analysis, we could not retrieve sufficient insulin or C-peptide measurements for reliable analysis. In any case, Ahlqvist et al. (19) have recently highlighted the importance of identifying subgroups of diabetes to tailor and target early treatment to patients who would benefit most, thereby representing a first step toward precision medicine in diabetes.

Surprisingly, the actual presence of lobular inflammation, hepatocyte ballooning, or hepatic fibrosis was not independently associated with remission of diabetes. This seemed to be in contrast with the notion that it is hepatic inflammation and not steatosis per se that causes IR (20). In contrast, earlier mechanistic

Table 3—Multivariate logistic regression model for long-term diabetes remission after RYGB considering baseline variables and postoperative weight loss data

Variables	OR	95% CI	P value	z value
Sex (male vs. female)	1.22	0.72–2.06	0.465	0.730
Age at surgery	0.98	0.95–1.00	0.088	1.707
BMI before surgery	1.01	0.98–1.04	0.550	0.597
Percent total weight loss in long term	1.05	1.03–1.08	<0.001	4.317
Duration of diabetes	0.86	0.81–0.91	<0.001	5.093
Preoperative insulin use (yes vs. no)	0.32	0.18–0.57	<0.001	3.825
Preoperative number of diabetes medications	0.49	0.36–0.67	<0.001	4.526
Poor glycemic control (HbA _{1c} > 7%) (yes vs. no)	0.45	0.26–0.76	0.003	2.978
Steatosis (yes vs. no)	2.21	1.14–4.31	0.020	2.335
Lobular inflammation (yes vs. no)	1.19	0.66–2.11	0.565	0.576
Hepatocyte ballooning (yes vs. no)	0.67	0.35–1.31	0.244	1.165
Fibrosis (yes vs. no)	0.97	0.53–1.77	0.910	0.113

N = 505, as long-term weight loss data could not be retrieved for 14 patients. z value is the absolute value of regression coefficient divided by its SE. A larger z value indicates a stronger statistical relation of factor on outcome. As a rule of thumb, if the absolute value of the z value is larger than cutoff value of 2.0, the variable is significant. Significant P values ($P < 0.05$) and z values ($z > 2.0$) are presented in bold.

studies did report that hepatic steatosis leads to hepatic IR by stimulating gluconeogenesis and activating protein kinase C- ϵ and JNK1, thereby interfering with tyrosine phosphorylation of insulin receptor substrate 1 and 2 (21). Previous clamp studies have shown that hepatic IR is lowered shortly after metabolic surgery (22). Moreover, the fact that more serious liver conditions such as hepatocyte ballooning, inflammation, and fibrosis do not predict diabetes outcomes after RYGB, but mere hepatic steatosis does, might be another argument in favor of considering metabolic surgery earlier in the progression of NAFLD/NASH/cirrhosis.

This study has several important limitations. First, because it was a single-center retrospective analysis, the findings should be considered as hypothesis-generating and should be validated in prospective mechanistic studies, with more thorough evaluation of β -cell function, IR, and liver status. Second, the current analysis was performed on patients who underwent RYGB only, and the results can therefore not be extrapolated to other types of metabolic surgery, such as sleeve gastrectomy. Third, while RYGB is contraindicated in patients with significant alcohol use, patients with occult or sporadic alcohol use (with alcoholic hepatic steatosis) might have been included and influenced our findings. Fourth, reliable data on waist circumference were not available to be included in the multivariate logistic regression model. The strength of this study was that we used histological scoring of liver biopsy specimens, which remains the gold standard method to evaluate NAFLD/NASH to date. Future studies can be extended to include clinically practical and noninvasive methods for preoperative liver assessment in order to determine if findings of this study are replicable. Doing so, predictive models can be updated to assist in patient selection and risk-benefit assessment of metabolic surgery.

Conclusion

This study suggests that in patients with T2DM who are considering metabolic surgery, coexistence of liver steatosis is associated with better long-term glycemic outcomes, although it has a smaller predictive value than the known clinical markers of residual β -cell function at the time of surgery (e.g., duration of

diabetes or number of diabetes medications). Furthermore, our data suggest that there are probably different variants of T2DM in which glycemic responses to metabolic surgery are different. A subgroup of patients whose T2DM is characterized by the presence of hepatic steatosis experience better postoperative glycemic outcomes, possibly due to reduced hepatic IR. Given the nature of this study, these data should be considered hypothesis-generating. Future mechanistic studies are warranted to further clarify the effects of baseline β -cell function, IR, and liver steatosis on glycemic responses after metabolic surgery.

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Author Contributions. R.V., R.L.W., D.V.C., and A.A. gathered the data. R.V. and C.T. analyzed the data. R.V. and A.A. interpreted the findings. R.V. and R.L.W. drafted the manuscript. A.A. designed the study. All authors reviewed the manuscript, edited it for intellectual content, and gave final approval for this version to be published. A.A. is the guarantor of this work and, as such, had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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